

Answers to Inquiries Regarding  
Request for Qualifications for  
457(b) Deferred Compensation Plan  
Legal Services

(11/20/20)

1. In RFQ Section II, Scope of Work, item 7 states “Represent the SFDCP in complex litigation.” Can you please provide more information about the type of complex litigation that you envision may fall within the RFQ’s Scope of Services?

**Answer:** Complex litigation would include, but not be limited to, claims of breach of fiduciary duties, non-compliance with the Internal Revenue Code, and non-compliance with securities regulations.

2. We understand that our response to Appendix A should be no more than 15 pages total. Related to that page limit, please clarify:

a. Whether the team organizational chart requested in question 1(e) can be attached as an exhibit that is outside of the 15-page limit?

**Answer:** The organizational chart may be attached as an exhibit that is conspicuously referred to in the 15-page response. All other information requested by 1(e) of Appendix A must be included in the 15-page response.

b. Whether any comments on Appendix C (the form contract) requested in question 9(b) can be attached as an exhibit outside of the 15-page limit?

**Answer:** Any requested revision and the explanation for each revision and objection to a contractual provision may be included in an exhibit that is conspicuously referred to in the 15-page response. All other information requested by 9(b) of Appendix A must be included in the 15-page response.